

**May 8, 2025 - VIRTUAL**

Section 1071 implementation has once again begun moving forward. Even though the rule continues to face legal hurdles, the Consumer Finance Protection Bureau issued an interim final rule extending the rule's initial compliance dates. This webinar will acquaint you with the requirements for the collection and reporting of credit application data for small businesses, including women-owned and minority-owned small businesses, as well as discuss some of the policy and procedure impacts for implementing compliance.

### **WHO SHOULD ATTEND**

This webinar is designed for anyone in banking who is involved in small business lending, particularly the application process. This could include commercial lenders, processors, front-line staff, commercial lending support staff, and related employees, as well as compliance professionals, auditors, risk managers and officers, and line of business managers. Also, executive management and even directors would benefit from understanding the requirements of this extensive new rule.

### **TOPICS WILL INCLUDE:**

- Institutional Coverage
- Transactional Coverage
  - Small Business
  - Covered Credit Transactions
- Covered Originations
  - Reportable Applications
- Requirements to Collect and Report Data
- Requirements to Report Data to the CFPB and Provisions Regarding Availability and Publication of Data
- Requirements to Limit Access to Certain Data
- Recordkeeping Requirements
- Effective Date and Compliance Date Tiers
- Transitional Provision for Determining Number of Covered Originations
- Safe Harbors and Other Provisions
- Current Legal Status and Outlook

**Program Presenter**

**LEWIS WALTON, JD**

**AVP - STAFF ATTORNEY/COMPLIANCE SPECIALIST AND AUDITOR,  
COMPLIANCE FIRST BANKING SOLUTIONS, LLC (A SUBSIDIARY OF KBA)**

Lew has been a member of the CFBS team for over three years. Prior to joining CFBS, Lew worked extensively with regulatory compliance in the health care field. Lew served as a technology administrator for health care non-profit organizations in Kansas and medical centers in Wisconsin for over 15 years. Lew has a bachelor's degree in philosophy where his studies emphasized Logic and Ethics. Lewis earned his Juris Doctorate from KU Law, where his coursework emphasized Tax Law and Bankruptcy.





**Program Agenda**  
 9:00 - 11:00 a.m. CT

**Registration Fees**

ASSET SIZE	LIVE	ON-DEMAND	BOTH
\$25 mil & under	___ \$150	___ \$200	___ \$250
\$26 mil - \$100 mil	___ \$250	___ \$300	___ \$350
\$101 mil - \$250 mil	___ \$350	___ \$400	___ \$450
\$251 mil - \$400 mil	___ \$450	___ \$500	___ \$550
\$401 mil - \$750 mil	___ \$550	___ \$600	___ \$650
\$751 mil - \$1 bil	___ \$650	___ \$700	___ \$750
Over \$1 billion	___ \$750	___ \$800	___ \$850
Assoc. Members	___ \$550	___ \$600	___ \$650
Non-members	___ \$1,500	___ \$1,600	___ \$1,700

Please mark the appropriate asset size above which corresponds with your financial institution. No refunds will be issued once you have received the seminar materials. We understand circumstances arise requiring cancellation. There is no limit to the number of employees that can attend the virtual session from your institution. If you have more than one attending, please email Natalie Wareham at [nwareham@ksbankers.com](mailto:nwareham@ksbankers.com).

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2. Fax registration form, followed by mailing of payment. Please use this form as your invoice.
3. Register Online using a credit card at [www.ksbankers.com](http://www.ksbankers.com)