

IT Audit Program 101 & Information Security Risk Assessment 101

May 2 - Salina

ABOUT EVENT

Having a comprehensive IT audit program is imperative and an essential part of your overall information security program. Not to mention it is a regulatory requirement no matter your size. A well planned and structured audit program that evaluates the risk management program and processes, internal controls and policies and procedures is required to meet regulatory compliance. The IT Audit and Audit Program has been and will continue to be a hot spot with the examiners.

Regardless of whether you have an internal IT audit performed or outsource it, you need to know what the regulatory expectations and requirements are.

Risk assessments are an essential element of overall risk management along with providing the basis for many of your policies, plans, and programs like your information security program, audit program, and business continuity plan. The basis for the risk assessment mandated by GLBA in 2000 was initially thought to be oriented to IT, thus the requirement for an IT Risk Assessment after all it is the IT examiners that are evaluating it. However, today the focus has shifted to an enterprise-wide information security risk assessment that encompasses the entire organization where IT is a key component. Needless to say the change in nomenclature and focus has created some confusion and the fact that the regulators do not prescribe to any specific format, only content, many organizations are finding their assessment being criticized during their exams and audits. A properly structured enterprise-wide information security risk assessment will not only help you focus your resources and budget dollars where they are needed, but also provide the basis for your information security program and audit program. The right approach will also get you off to a running start on your business continuity risk assessment as well.

This presentation will provide an approach for developing an enterprise-wide information security risk assessment and a framework that can be adapted to the other numerous risk assessments now required.

WHO SHOULD ATTEND: Internal/external auditors, compliance officers, IT security officers, operations officers and others.

SUSAN ORR - LEADING FINANCIAL SERVICES EXPERT



Susan Orr is a leading financial services expert with vast regulatory, risk management, and security best practice knowledge and expertise. As a consultant, Susan is dedicated to assisting financial institutions in implementing appropriate policies and controls to protect confidential information and comply with regulatory mandates and best practices.

TOPICS TO BE COVERED:

Recent examination findings include:

- » Insufficient IT audit scope and frequency.
- » Overall inadequate audit.
- » Inadequate auditor experience.

This seminar will cover the basics of the audit program including:

- » Management responsibilities
- » Written IT Audit Program
- » IT Audit Risk Assessment
- » IT Audit coverage
- » Reporting

Key Focus Areas:

- » Understanding regulatory expectations
- » Properly structuring your IT Program
- » Developing an IT Audit Risk Assessment

If you have asked these questions, then this presentation is for you:

- » Do I have to have an IT Audit?
- » How often do I have to have an IT Audit?
- » What should the audit cover?
- » Who should complete the audit?

If you have asked these questions, then this presentation is for you:

- » What is meant by enterprise-wide?
- » Where do I start?
- » Can I outsource the risk assessment?
- » Is there an approved format or template?

This seminar will cover the basics of the information security risk assessment including:

- » Why you need an information security risk assessment
- » How to use the results
- » Critical success factors
- » Risk assessment criteria

Key Focus Areas:

- » Understanding the difference between IT and enterprise-wide risk assessments
- » Simplifying the approach
- » Developing a matrix

IT AUDIT PROGRAM 101 & INFORMATION SECURITY RISK ASSESSMENT 101
MAY 2 - HOMEWOOD SUITES, SALINA
115 E MULBERRY ST - (785) 515-2600



Program Agenda

Registration - 8:30 a.m.
Program - 9:00 a.m.
Lunch 12:00 p.m. - 1:00 p.m.
Program Continues - 1:00 - 3:30 p.m.
Adjourn - 3:30 p.m.

	<u>EARLY BIRD REGISTRATIONS POSTMARKED</u>	<u>REGISTRATIONS POST</u>
	<u>ON OR BEFORE APRIL 22, 2024.</u>	<u>APRIL 22, 2024.</u>
<u> </u> KBA Members	\$275 per person	\$375 per person
<u> </u> Non KBA Members	\$550 per person	\$650 per person

Fee includes one day of instruction, refreshments, lunch and handout material. We understand circumstances arise requiring cancellation - if you cancel up to 3 business days before the program, your registration fee will be refunded. Substitutions are always welcomed. If you have special dietary needs, please contact the KBA office. If you have a disability, and request special accommodations, please contact the KBA office.

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