

## OnDemand - Webinar

This webinar is designed for employees of financial institutions looking for an introduction or refresher to ACH requirements. This webinar will discuss general ACH program expectations, common issues seen during ACH audits and tips from an auditor to mitigate your ACH risk and in compliance with Nacha rules.

### Pricing for OnDemand:

___ \$100 M and under:	<b>\$400</b>
___ \$101 M to \$400 M:	<b>\$600</b>
___ \$401 M to \$750 M:	<b>\$800</b>
___ \$751 M to \$1B:	<b>\$1,000</b>
___ Over \$1B:	<b>\$1,200</b>
___ Associate Member:	<b>\$800</b>
___ Non-Member:	<b>\$1,500</b>

Please email completed form to Natalie Wareham at [education@ksbankers.com](mailto:education@ksbankers.com) or fax to 785-272-8392.

### Topics to be covered:

- » Applicable Laws, Regulations, and Rules
- » Terminology
- » Policy and Procedures
- » Risk Assessment Requirements
- » Receiving ACH Files, including:
  - Posting Deadlines
  - Proper and Timely Returns
  - Unauthorized vs. Stop Payment
  - Nacha Rules vs Regulation E
  - Notice of Change
  - Prenotifications
- » Origination of ACH Files, including:
  - Originator Risk Assessments and Exposure Limits
  - Origination Agreements
  - Security Procedures
  - Returns and Notice of Change
  - Originator Training
  - Third Party Senders
- » Bonus Content
  - Annual Audit requirements
  - Federal Benefits



#### Program Presenter

### ALLISON CARPENTER, J.D, AAP STAFF ATTORNEY/SENIOR COMPLIANCE AUDITOR

Allison began working for the KBA Legal Department in 2011, and began performing ACH audits in 2014. Prior to graduating from Washburn University School of Law, Allison worked at a financial institution for six years and understands day-to-day expectations and limitations placed on bankers.