

SEPTEMBER 27 - VIRTUAL

Compliance with the Bank Secrecy Act remains an important issue with regards to examinations and bank liability. A substandard BSA compliance may expose your bank to unwanted regulatory scrutiny and possible penalties. This program is designed to learn foundational BSA concepts as well as more advanced topics. We will cover everything a BSA Officer needs to know to run a good program, in addition, to completing their required annual BSA training.



WHO SHOULD ATTEND

BSA Officer, Compliance Officers and Security Officers.

TOPICS TO BE COVERED:

- » BSA compliance requirements and hot spots
- » Anti-Money Laundering (AML) issues
- » Know Your Customer Programs – CIP and CDD
- » Information Sharing 314(a) and (b)
- » BSA issues regarding Privately-Owned/Operated ATM's, MSB's, Prepaid Cards, Elder/Vulnerable Adult Exploitation, Human Trafficking, Remote/Mobile Deposit Capture
- » Marijuana Related Businesses (MRB) – FinCEN Guidance, CDD and Risk Management
- » Office of Foreign Assets Control (OFAC) compliance requirements
- » Proposed Beneficial Ownership changes & updates on the implementation of the 2020 Anti-Money Laundering Act (AMLA)
- » BSA/AML Examination Manual – Latest Updates

Program Presenter

NEAL BARCLAY, CRCM

AVP - COMPLIANCE SPECIALIST/AUDITOR

Neal has been a part of the KBA for over 5 years, performing audits, consulting, training, and teaching in the area State Association schools. Prior to that Neal has worked in multiple banks and has over 30 years of banking experience as a Compliance Officer, BSA Officer and as a Consumer/Mortgage Lender.



**2022 BANK SECRECY ACT SEMINAR -
REVIEWING THE ENTIRE REALM OF BSA FROM
"A" THROUGH "Z"
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Program Agenda

Login - 8:45 a.m.

Program - 9 a.m. - 11:30 a.m.

Lunch - 11:30 a.m. - 1:00 p.m.

Program - 1:00 p.m. - 3:30 p.m.

REGISTRATION FEES ARE BASED ON BANK/COMPANY ASSET SIZE.

<u>Live early bird registrations postmarked on or before September 13, 2022.</u>	<u>Registrations postmarked after September 13, 2022.</u>	<u>Live & On-Demand</u>
\$100 mil & under: ___ \$450	___ \$550	___ \$ 500
\$101 - \$400 mil: ___ \$650	___ \$750	___ \$700
\$401 - \$750 mil: ___ \$850	___ \$950	___ \$900
\$751 mil - \$1 bil: ___ \$1,050	___ \$1,150	___ \$1,100
Over \$1 bil: ___ \$1,250	___ \$1,350	___ \$1,200
Non-Members: ___ \$1,700	___ \$1,800	___ \$1,600
Associate Members: ___ \$850	___ \$950	___ \$900

We understand circumstances arise requiring cancellation - cancel up to 5 business days before the program, your registration fee will be refunded. There is no limit to the number of employees that can attend the virtual session from your institution. If you have more than 3 attending, please email Natalie Wareham – nwareham@ksbankers.com. Registration for and attendance at KBA meetings and events constitutes an agreement by the registrant for KBA's use of the attendee's photograph in printed and/or digital promotional materials, publications, mobile app and social media, unless permission is revoked and received by the KBA prior to the event.

Name: _____

Bank Location: _____ E-mail: _____

Name: _____

Bank Location: _____ E-mail: _____

Bank: _____

Total Enclosed: \$ _____ Total Attending: _____

Address: _____

City: _____ State: _____ Zip: _____

Three ways to register:

1. Complete and mail registration form with payment.
2. Fax registration form, followed by mailing of payment. Please use this form as your invoice.
3. Register Online using a credit card at www.ksbankers.com