

## January 25, 2022 - Webinar

This webinar is designed for employees of financial institutions looking for an introduction or refresher to ACH requirements. This webinar will discuss general ACH program expectations, common issues seen during ACH audits and tips from an auditor to mitigate your ACH risk and in compliance with Nacha rules.



### Topics to be covered:

- » Applicable Laws, Regulations, and Rules
- » Terminology
- » Policy and Procedures
- » Risk Assessment Requirements
- » Receiving ACH Files, including:
  - Posting Deadlines
  - Proper and Timely Returns
  - Unauthorized vs. Stop Payment
  - Nacha Rules vs Regulation E
  - Notice of Change
  - Prenotifications
- » Origination of ACH Files, including:
  - Originator Risk Assessments and Exposure Limits
  - Origination Agreements
  - Security Procedures
  - Returns and Notice of Change
  - Originator Training
  - Third Party Senders
- » Bonus Content
  - Annual Audit requirements
  - Federal Benefits



#### Program Presenter

### **ALLISON CARPENTER, J.D, AAP** STAFF ATTORNEY/SENIOR COMPLIANCE AUDITOR

Allison began working for the KBA Legal Department in 2011, and began performing ACH audits in 2014. Prior to graduating from Washburn University School of Law, Allison worked at a financial institution for six years and understands day-to-day expectations and limitations placed on bankers.

# ACH Introduction/Refresher

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## Program Agenda

2:30 p.m. - 4:30 p.m.

Asset Size	Live	OnDemand	Both
\$50 mil & under:	___ \$175	___ \$215	___ \$315
\$51 - \$150 mil:	___ \$205	___ \$245	___ \$345
\$151 - \$250 mil:	___ \$235	___ \$275	___ \$375
\$251 - \$400 mil:	___ \$265	___ \$305	___ \$405
Over \$400 mil:	___ \$295	___ \$335	___ \$435
Assoc. members:	___ \$295	___ \$335	___ \$435
Non-members:	___ \$495	___ \$535	___ \$635

This online program consists of 90 minutes of instruction followed by live Q&A. Each registration allows access to the webinar and materials with 30-day replay access. You may have unlimited listeners on your connection by speaker phone and PC. You will receive a confirmation with your PIN, materials and logon instructions. If you do not receive a confirmation at least two days prior to the event, call 785-232-3444. You may also purchase the OnDemand recording and materials for ongoing training purposes. Any transmission, retransmission or republishing of the audio or video portion of the seminar is strictly prohibited. Your registration gives you permission to make copies of seminar materials for all listeners from your institution. **Live purchase includes free 30-day replay access.** All options will receive the written materials.

**Cancellations must be received by January 18, 2022.**

Name: \_\_\_\_\_

Bank Location: \_\_\_\_\_ E-mail: \_\_\_\_\_

Name: \_\_\_\_\_

Bank Location: \_\_\_\_\_ E-mail: \_\_\_\_\_

Bank: \_\_\_\_\_

Total Enclosed: \$ \_\_\_\_\_ Total Attending: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

### Three ways to register:

1. Complete and mail registration form with payment.
2. Fax registration form, followed by mailing of payment. Please use this form as your invoice.
3. Register Online using a credit card at [www.ksbankers.com](http://www.ksbankers.com)