

November 9, 2021 - Courtyard by Marriott, Salina

This one-day seminar covers the fundamentals of IT auditing and equips participants with basic computer terminology and the ability to apply internal control concepts to the various automated environments from PCs to mainframes. The seminar also emphasizes Cybersecurity Assessments and IT Risk Assessment techniques to satisfy GLBA, SOX and other regulatory concerns.

The primary emphasis of the materials will be directed toward typical computer system environments found in community financial institutions to better equip staff to evaluate many of the less technical IT controls. Even those institutions with no in-house computer system (processed by a service bureau) will benefit from understanding the control requirements necessary for a typical data center and how to evaluate those requirements with third-party review letters and other means.

Benefits of Attending: There will be explanations of and practical approaches to procedures for conducting non-technical portions of IT Audits. There will also be a review of regulatory issues and suggestions to help management prepare for and respond to regulatory examinations.

Who Should Attend

Internal/external auditors, compliance officers, IT security officers, operations officers and others.

Topics to be covered:

- Computer Concepts & Internal Controls
- Cybersecurity Assessment & IT Risk Assessments
- Banking Regulatory Issues with Technology
- Computer Fraud & Abuse
- Performing or Managing the IT Audit
 - » Management & Audit
 - » Business Continuity Planning
 - » Physical Security & IT Operations
 - » Electronic Banking
 - » Acquisition & Development
 - » Vulnerability Assessment



Program Presenter

GORDON F. MORRIS
CBA, CISA - GFM CONSULTING

Gordon is the founder of the firm that specializes in audit, information security and information technology consulting services and provides these services annually for 100+ banks ranging in asset sizes from \$100 million to \$7 Billion. He has taught IT auditing seminars for some of the largest national banks in the U.S.

IT Auditing & IT Risk Assessment for Financial Institutions

NOVEMBER 9, 2021 - COURTYARD BY MARRIOTT, SALINA
3020 RIFFEL DR, SALINA, KS 67401 - (785) 309-1300



Program Agenda

Registration - 8:30 a.m.
Program - 9:00 a.m.
Lunch - 12:00 - 1:00 p.m.
Program continues - 1:00 p.m.
Adjourn - 3:30 p.m.

Registration Information

Members: \$265 per person*

Non-members: \$400 per person*

***Early bird registrations postmarked on or before November 2.**

***A late fee of \$75 will be applied after November 2.**

Fee includes one day of instruction, refreshments, lunch and handout material.

We understand circumstances arise requiring cancellation - if you cancel up to 3 business days before the program, your registration fee will be refunded. Substitutions are always welcomed.

If you have special dietary needs, please contact the KBA office.

If you have a disability, and request special accommodations, please contact the KBA office.

Registration for and attendance at KBA meetings and events constitutes an agreement by the registrant for KBA's use of the attendee's photograph in printed and/or digital promotional materials, publications, mobile app and social media, unless permission is revoked and received by the KBA prior to the event.

Name: _____

Bank Location: _____ E-mail: _____

Name: _____

Bank Location: _____ E-mail: _____

Bank: _____

Total Enclosed: \$ _____ Total Attending: _____

Address: _____

City: _____ State: _____ Zip: _____

Three ways to register:

1. Complete and mail registration form with payment.
2. Fax registration form, followed by mailing of payment. Please use this form as your invoice.
3. Register Online using a credit card at www.ksbankers.com