

**Role:**

This role is a leader responsible for the development, implementation and oversight of programs that support effective risk and compliance management in the first line of defense. Primary responsibilities will include risk and control self-assessments, identifying control failures, facilitating risk and compliance remediation, managing internal and external audits and regulatory exams, and monitoring of the first line of defense in an effort to minimize risk exposures and strengthen the overall control environment.

Essential Functions & Responsibilities:

- Leads the bank's regulatory compliance management program by coordinating the regulatory change management process, developing compliance monitoring of bank activities, ensuring appropriate compliance training is provided to bank personnel, and establishing effective governance and communications for the compliance program.
- Participates in projects for the implementation of new products, processes, and technologies, by identifying compliance and operational risk issues and recommending mitigating controls.
- Coordinates CRA program activities across responsible departments at the direction of the CRA Committee.
- Provides oversight for the bank's BSA and fraud programs by assisting in risk assessment activities and the development of appropriate policies and controls.
- Manages the enterprise-wide policy and procedure governance process in cooperation with senior bank leaders.
- Develops metrics and presents periodic reports to the Board of Directors and bank management concerning key compliance and operational risk issues.
- Provides timely and accurate information in response to audit and regulatory requests and works cooperatively with auditors, examiners, and internal resources to address control deficiencies.

Position Requirements:

Experience: Five to ten years of similar or related experience required.

Education: (1) A bachelor's degree, or (2) achievement of formal certification recognized in the industry as equivalent to a bachelor's degree (e.g. CRCM or similar in lieu of a degree).

Interpersonal Skills: This position involves much personal contact with others inside and/or outside the organization for the purpose of first-level conflict resolution, building relationships, and soliciting cooperation. Discussions involve a higher degree of confidentiality and discretion, requiring diplomacy and tact in communication.

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